

OPTIMA: Optimal Personalised Treatment of early breast cancer using Multi-parameter Analysis, an international randomized trial of tumor gene expression test-directed chemotherapy treatment in a largely node-positive population.

Robert C. Stein¹, Andreas Makris², Iain R. MacPherson³, Luke Hughes-Davies⁴, Andrea Marshall⁵, Georgina Dotchin⁵, David A. Cameron⁶, Belinda E. Kiely⁷, Janice Tsang⁸, Bjørn Naume⁹, Daniel W. Rea¹⁰, Hege Oma Ohnstad⁹, Peter S Hall¹¹, Stuart McIntosh¹², Bethany Shinkins¹³, Chris McCabe¹⁴, Adrienne Morgan¹⁵, John Bartlett¹⁶, Janet Dunn⁵, OPTIMA Trial Management Group

Background

- Multi-parameter tumor gene expression assays (MPAs) are widely used to estimate individual patient residual risk in ER-positive HER2-negative node-negative early breast cancer, allowing patients at low risk to safely avoid chemotherapy.
- TAILORx provides evidence for MPA use in node-negative breast cancer.
- Data to support test use for 1-3 node-positive postmenopausal patients are suggestive but definitive level 1 evidence remains lacking.
- No data exist to support test use for patients with ≥ 4 involved nodes.
- MPA trials conducted to date have demonstrated chemotherapy benefit for premenopausal women in lower risk MPA categories. This is potentially explained by imbalance arising from chemotherapy-induced menopause.
- OPTIMA is a UK-initiated RCT of test-directed chemotherapy use with a non-inferiority design that recruits patients at high clinical risk of recurrence.
- OPTIMA is currently recruiting in the UK, Norway & Sweden; additional international expansion is anticipated during 2021.

Patient characteristics (at 1 May 2021)

Characteristic		Invasive tumor size	
Number of participants	2123	<30mm	55%
Age: median (range)	56 (40-83)	≥ 30 mm	45%
Menopausal status		Nodal status	
Premenopausal	36%	pN0 & pN1 mi	7%
Postmenopausal	63%	1N+	40%
Male	1%	2N+	23%
Grade		3N+	11%
Grade 1	5%	4N+	8%
Grade 2	62%	5-9 N+	11%
Grade 3	33%	Low Prosigna Score (≤ 60)	68%

Authors institutions: ¹National Institute for Health Research University College London Hospitals Biomedical Research Centre, London, UK; ²Mount Vernon Cancer Centre, Northwood, UK; ³Beatson West of Scotland Cancer Centre, Glasgow, UK; ⁴Cambridge University Hospitals NHS Foundation Trust, Department of Oncology, Cambridge, UK; ⁵University of Warwick, Coventry, UK; ⁶University of Edinburgh, Cancer Research UK Edinburgh Centre, Edinburgh, UK; ⁷NHMRC Clinical Trials Centre, The University of Sydney, Sydney, Australia; ⁸The University of Hong Kong, Hong Kong, China; ⁹Oslo University Hospital, Oslo, Norway; ¹⁰University of Birmingham, Cancer Research UK Clinical Trials Unit (CRCTU), Birmingham, UK; ¹¹University of Edinburgh, Edinburgh, UK; ¹²Queens University Belfast, Belfast, UK; ¹³Leeds Institute of Health Sciences, Leeds, UK; ¹⁴University of Alberta, Institute of Health Economics, Edmonton, AB; ¹⁵Independent Cancer Patients' Voice, London, United Kingdom; ¹⁶Ontario Institute for Cancer Research, Toronto, ON.

The bottom line

- OPTIMA, by mandating ovarian function suppression for premenopausal patients, is the only MPA trial that controls for chemotherapy-induced menopause.
- OPTIMA is the only MPA trial that recruits patients with higher-level (4-9) node involvement.
- OPTIMA will add to data generated by other trials in relation to postmenopausal patients with 1-3 involved nodes and with larger node negative tumors.
- OPTIMA welcomes additional collaborators from all nations

Further information can be found at

optimabreaststudy.com

Contact email: r.stein@ucl.ac.uk



Acknowledgements

This project is funded by the UK National Institute for Health Research Health Technology Assessment (NIHR HTA) Programme (project number 10/34/501 and KLINBEFORSK in Norway). The views and opinions expressed therein are those of the authors and do not necessarily reflect those of the HTA programme, NIHR, NHS or the Department of Health. Veracyte Inc is supporting additional international expansion.

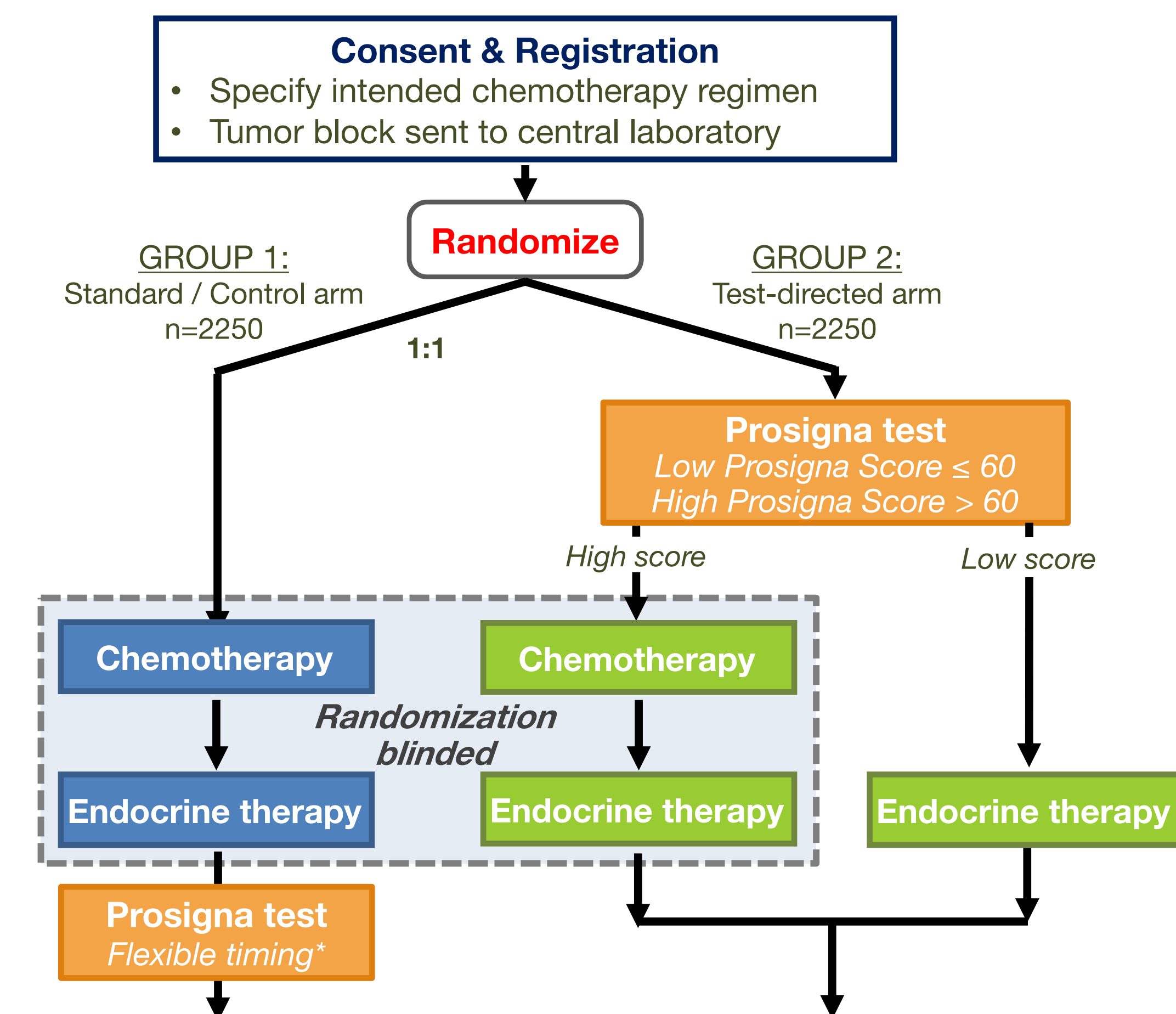
Trial Design

Trial population

- Female or Male age ≥ 40
- Excised primary breast cancer
- ER+ve ($>10\%$ staining), HER2-ve
- pN1-2 **OR** pN1mi & pT ≥ 20 mm **OR** pN0 & pT ≥ 30 mm

Treatment

- Chemotherapy from menu of standard regimens
- ET: Postmenopausal = AI; Premenopausal = OFS + AI or tam; Male = tam



Primary outcomes

- Non-inferiority of IDFS ($\Delta = -3\%$) -80% power if 15% 5yr control-arm event rate
- Cost effectiveness evaluation of test-directed treatment

Key secondary outcome

- Non-inferiority of IDFS for patients with low-score tumors ($\Delta = -3.5\%$)

Additional secondary outcome measures ^{*Requires control-arm testing}

- DRFI, BCSS, OS, Health Resource use & Quality of Life